

Synchrotron and inverse Compton variability in the BL Lacertae object S5 0716+714

P. Giommi¹, E. Massaro², L. Chiappetti³, E.C. Ferrara⁴, G. Ghisellini⁵, Minhwan Jang⁶, M. Maesano², H.R. Miller⁴, F. Montagni², R. Nesci², P. Padovani^{7,*,**}, E. Perلمان⁷, C.M. Raiteri⁸, S. Sclavi², G. Tagliaferri⁵, G. Tosti⁹, and M. Villata⁸

¹ *BeppoSAX* Science Data Center, ASI, Via Corcolle 19, 00131 Roma, Italy

² Istituto Astronomico, Università ‘La Sapienza’, Via Lancisi 29 00161 Roma, Italy

³ IFC, CNR, Via Bassini 15, Milan, Italy

⁴ Department of Physics and Astronomy, Georgia State University Atlanta, GA 30303, USA

⁵ Osservatorio Astronomico di Brera, Via Bianchi 46, 23807 Merate, Italy

⁶ Department Astronomy and Space Science, Kyung Hee University, Yongin, Kyungki-Do 449-701, Korea

⁷ Space Telescope Science Institute 3700 San Martin Drive, Baltimore, MD 21218, USA

⁸ Osservatorio Astronomico di Torino, Strada Osservatorio 20 10025 Pino Torinese, Italy

⁹ Osservatorio Astronomico, Università di Perugia, Perugia, Italy

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Abstract. We report intensity variations of different spectral components in the BL Lac object S5 0716+714 detected during coordinated *BeppoSAX* and optical observations in 1996 and 1998. The transition between synchrotron and inverse Compton emission has been clearly detected as sharp X-ray spectral breaks at around 2–3 keV on both occasions. Correlated optical and soft X-ray variability was found during the second *BeppoSAX* pointing when intensive optical monitoring could be arranged. The hard (Compton) component changed by a factor of 2 between the two observations, but remained stable within each exposure. During events of rapid variability S5 0716+714 showed spectral steepening with intensity, a behaviour rarely observed in BL Lacs. We interpret these findings as the probable consequence of a shift of the synchrotron peak emission from the IR/optical band to higher energies, causing the synchrotron tail to push into the soft X-ray band more and more as the source brightens.

Key words: radiation mechanisms: non-thermal – galaxies: active – galaxies: BL Lacertae objects: individual: S5 0716+714 – X-rays: galaxies

1. Introduction

It is generally agreed that the main mechanism powering BL Lacs is synchrotron emission followed by inverse Compton scattering in a relativistic beaming scenario (e.g. Kollgaard

1994, Urry & Padovani 1995, Ghisellini et al. 1996). The synchrotron component peaks (in a ν vs νf_ν representation) at energies ranging from infrared frequencies, for Low energy peaked (LBL) BL Lacs (mostly discovered in radio surveys), to hard X-rays for extreme High energy peaked (HBL) BL Lacs (typically discovered in X-ray surveys, Padovani & Giommi 1995, Sambruna et al. 1996).

S5 0716+714 is a strongly variable (e.g. Wagner & Witzel 1995, Otterbein et al. 1998) BL Lac object characterized by a spectral energy distribution (SED) peaking at intermediate frequencies ($\nu_{peak} \sim 10^{14} - 10^{15}$ Hz) compared to LBL and HBL BL Lacs. To date no redshift has been measured for this object.

In this paper we report the detection of intensity and spectral variations involving both the synchrotron and the inverse Compton components of S5 0716+714. The data are from two observations carried out with the Narrow Fields Instruments (NFI) of the *BeppoSAX* satellite (Boella et al. 1997a), and from simultaneous optical observations made with a number of small telescopes in Italy and in Korea.

2. BeppoSAX observations and data analysis

S5 0716+714 was observed by *BeppoSAX* twice on November 14, 1996 and on November 7, 1998. Preliminary results on the first *BeppoSAX* observation have been reported in Chiappetti (1997).

Screened event lists for the LECS (Parmar et al. 1997) and MECS (Boella et al. 1997b) instruments, and the average PDS (Frontera et al. 1997) background-subtracted spectra were taken from the *BeppoSAX* SDC on-line archive (Giommi & Fiore 1998). The data analysis was performed using the software available in the XANADU package (XIMAGE, XRONOS, XSPEC).

Send offprint requests to: P. Giommi

* Affiliated to the Astrophysics Division, Space Science Department, European Space Agency

** On leave of absence from Dipartimento di Fisica, II Università di Roma ‘Tor Vergata’, Italy

Table 1. Log of the *BeppoSAX* observations of S5 0716+714 and image analysis results

Date	LECS exposure (s)	Count rate (0.1–2.keV) $ct\ s^{-1}$	Count rate (2–10 keV) $ct\ s^{-1}$	MECS exposure (s)	Count rate (2–10 keV) $ct\ s^{-1}$
14-NOV-1996	13122	0.020 ± 0.001	0.006 ± 0.0008	122509	$0.0263^a \pm 0.0006$
7-NOV-1998	9475	0.022 ± 0.002	0.011 ± 0.0010	31317	$0.0344^b \pm 0.0012$

^a Three MECS units; ^b Two MECS units

The analysis of the *BeppoSAX* X-ray images shows that S5 0716+714 was found in a rather faint state compared to previous observations (e.g. Urry et al. 1996, Wagner et al. 1996). The count rates in the LECS and MECS instruments have been estimated using XIMAGE (Giommi et al. 1991), upgraded at the *BeppoSAX* SDC to support *BeppoSAX* imaging data. The observational parameters and the measured count rates for the two instruments are given in Table 1. Significant intensity variations were detected between and during each observation. In particular, the comparison of the 1996 and 1998 data shows that while the count rate in the soft band (0.1–2 keV) was found at the same level, the intensity in the harder 2–10 keV band changed by nearly a factor two both in the LECS and in the MECS¹ instruments. This difference in variability implies that the X-ray spectral shape of S5 0716+714 changed significantly between the two observations. On time scales of hours X-ray variability was detected only in the low energy band (0.1–2 keV) as discussed in Sect. 4.

Although some signal is present in the PDS data in both observations the source was too faint to be detected above the confusion limit of $\approx 2\text{--}3 \times 10^{-12}$ cgs.

XSPEC spectral fits (over the full 0.1–10. keV LECS and MECS bands) with a single power law model, modified by Galactic absorption as estimated from 21 cm measurements ($N_H = 3.8 \times 10^{20}$ cm⁻², Dickey & Lockman 1990) gave best fit energy spectral indices $\alpha_x(1996) = 1.1 \pm 0.08$ and $\alpha_x(1998) = 0.9 \pm 0.1$ and with high χ^2_ν values ($\chi^2_\nu = 1.35$, 55 d.o.f. and $\chi^2_\nu = 1.63$, 53 d.o.f., respectively) due to a poor fit at soft energies. These slopes are much flatter than the one found in a previous ROSAT PSPC observation ($\alpha_x = 1.8$, 0.1–2.0 keV) during which S5 0716+714 was also highly variable (Urry et al. 1996, Wagner et al. 1996). We next fitted the data with a broken power law model and N_H fixed to the Galactic value. The spectral fit and the residuals for the 1996 observation are shown in Fig. 1. The resulting spectral parameters with the statistical (1σ) uncertainties are reported in Table 2. In both observations the spectral slope is much steeper ($\Delta\alpha = 0.6\text{--}0.7$) at lower energies (possibly consistent with the ROSAT slope), and the spectral break is around 2–3 keV. An F test shows that the broken power law model significantly improves (prob > 99.99%) the fit compared to the single power law model. The χ^2_ν in the second observation (~ 1.5) is still relatively high, likely be-

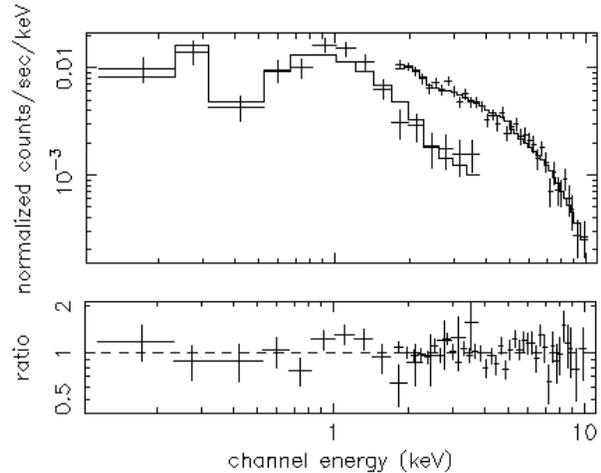


Fig. 1. LECS + MECS 1996 spectrum of S5 0716+714 fitted to a broken power law and N_H fixed to the Galactic value.

cause of the presence of non negligible rapid spectral variability (see below).

3. Optical observations

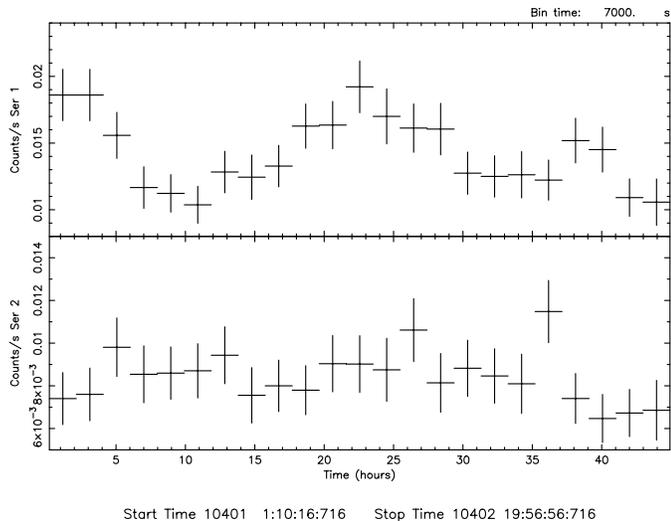
Photometric measurements of S5 0716+714 were performed with telescopes operated by the Roma, Perugia and Torino groups equipped with CCD cameras, two of them mounting a back illuminated SITE SIA502A chip. The bandpasses used were the standard Johnson B, V, the Cousins R_C , I_C and the F86, F98 of the Arizona system (Johnson & Mitchell 1975). BVR_CI_C magnitudes of three standard stars in the field of S5 0716+714 were taken from Ghisellini et al. (1997) and Villata et al. (1998a), while the magnitude in the Arizona filters of the same stars were calibrated with the primary standard BS2527 (Johnson & Mitchell 1975). Other observations in the R_C band were performed with the Kyung Hee University telescope in Korea at the beginning of the *BeppoSAX* pointing.

During both *BeppoSAX* X-ray observations the source was not in bright states and at about the same magnitude $R_C \sim 13.8$. The optical monitoring (Villata et al. 1998b, Massaro et al. 1999a, Raiteri et al. 1999) shows that in November 1996 S5 0716+714 was in a declining phase following a small burst occurred two weeks before, whereas in November 1998 it was in a mild brightening phase twenty days after the lowest recorded level ($R_C=14.40$) since January 1996.

¹ The MECS instrument was operated with three units in 1996 and with two units in 1998; the 1998 count rate therefore must be multiplied by roughly 1.5 before being compared to the 1996 data

Table 2. Results of LECS+MECS spectral analysis – Broken power law model

Date	α_{soft} (energy index)	α_{hard} (energy index)	Break energy (keV)	χ^2 (d.o.f.)	Flux 0.1–2 keV $\text{erg cm}^{-2} \text{s}^{-1}$	Flux 2–10 keV $\text{erg cm}^{-2} \text{s}^{-1}$
14-NOV-1996	1.7 ± 0.3	0.96 ± 0.15	2.3 ± 0.4	56(48)	2.0×10^{-12}	1.4×10^{-12}
7-NOV-1998	1.3 ± 0.4	0.73 ± 0.18	2.8 ± 0.8	76(49)	1.8×10^{-12}	2.6×10^{-12}

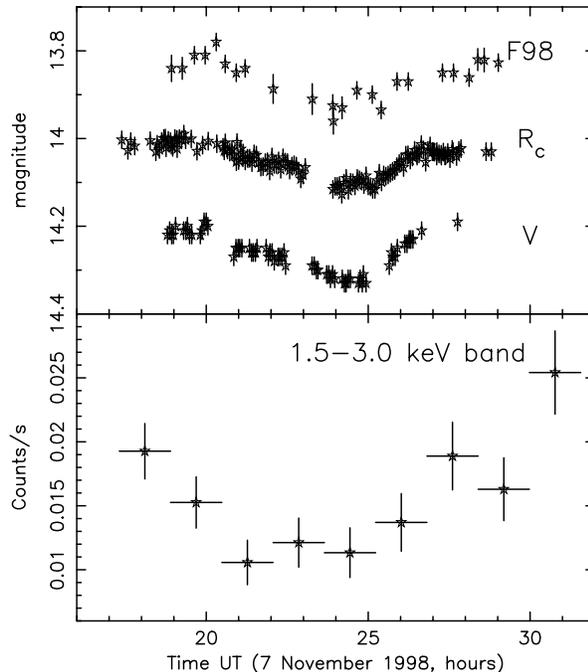
**Fig. 2.** The MECS lightcurve of S5 0716+714 in the 1.5–3.0 (upper panel) and 5.0–10 keV (lower panel) energy bands during the 1996 *BeppoSAX* observation. Significant variability is present only at low energies.

4. Short-term variability

Fig. 2 shows the soft (1.3–3.0 keV) and hard (5.0–10 keV) MECS lightcurve during the long 1996 *BeppoSAX* observation. Clear variations of up to a factor of two are evident in the low energy curve, while above 5 keV the source flux remains constant within approximately 20%. During this observation we were able to perform simultaneous optical monitoring (in the F86 Arizona band) for about five hours starting at the beginning of the pointing, and covering only the initial part of the X-ray lightcurve. We observed a smooth decline of the luminosity: the F86 magnitude varied from 13.14 at 0.0h UT to 13.23 at 4.6h UT, a similar decrease was also observed in the V band. The decreasing trend is also clearly evident in the first segment of the soft X-ray light curve (Fig. 2) and continued in this band for about six hours.

A much better simultaneous coverage was achieved during the 1998 November 7 observation when the source was followed for about 12 hours in three optical bands and in the X-rays. The optical light curves are reported in Fig. 3 (upper panel) and show a very similar behaviour with a variation of about 0.1 mag over a time interval of a few hours: the flux decreased from about 19h UT to a minimum at 24h UT and then increased again reaching the previous level in about two hours.

The same general behaviour is clearly apparent in the 1.5–3.0 keV MECS data (Fig. 3, lower panel), while at higher

**Fig. 3.** Simultaneous optical and 1.5–3.0 keV light curves of S5 0716+714 during the 1998 observation. A magnitude offset of 0.25 and 0.75 has been added to the R and F86 data.

energies (5–10 keV) the count rate, similarly to the 1996 observation, is consistent with a constant value. The light curves of Fig. 3 indicate the possibility that optical and X-ray variations are not strictly simultaneous: the soft X-ray luminosity could be declining since the beginning of the observation and could reach its lowest level about 2–3 hours before the optical minimum. The following rise, however, seems to start at the same time (about 25h) in all bands. This conclusion, however, cannot be firmly established because of poor statistics.

Since the soft and hard X-rays follow different evolutions, the X-ray spectral shape must change with source intensity. This is clearly apparent from Fig. 4 where the MECS hardness ratio (1.5–3.0/3.0–10 keV) in both *BeppoSAX* observations is anti-correlated with intensity: the spectrum steepens when the source brightens. The LECS lightcurve is consistent with these findings, although, in this case the photon statistics is poor due to the lower exposure (Table 1) and less sensitivity of this instrument compared to the MECS.

The correlation between the low energy X-ray and optical light curves observed by us is in contrast with the results of the 1996 April campaign on S5 0716+714 (Otterbein et al. 1998), but agrees with the 1991 observation (Wagner et al. 1996).

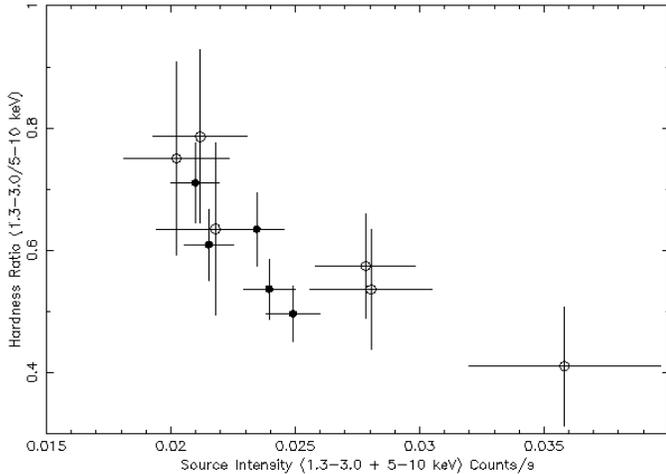


Fig. 4. The (1.5–3.0/5–10 keV) hardness ratio of S5 0716+714 as a function of total intensity in the two bands during the 1996 (filled circles) and 1998 (open circles) observations.

Notice that the amplitude of the X-ray variation (a factor of ≈ 2) is higher than at optical-IR frequencies, where it is $\sim 10\%$.

5. Discussion

During the two *BeppoSAX* and optical observations presented here S5 0716+714 was detected in a faint state similar to that seen during other X-ray observations when the source was not flaring (Wagner et al. 1996, Otterbein et al. 1998). Nevertheless significant flux and spectral changes were detected, confirming the tendency of this object to be frequently variable.

The X-ray spectrum of S5 0716+714 can be well represented by a broken power law with steep (energy) slope ($\alpha_x \gtrsim 1.5$) until 2–3 keV where a much harder component ($\alpha_x \sim 0.8$ –0.9) emerges.

Fig. 5 shows the broad band $\nu - \nu f_\nu$ SED of S5 0716+714, derived from our simultaneous optical and X-ray data together with nearly simultaneous radio data from the UMRAO database (Aller et al. 1999) and from non-simultaneous photometric data taken from NED. Fig. 5 shows that the break detected by *BeppoSAX* in the soft X-ray spectrum marks the merging of the steep tail of the synchrotron emission into the harder inverse Compton component. A similar transition between synchrotron and Compton emission has been recently detected in *BeppoSAX* observations of another intermediate BL Lac object: ON 231 (Tagliaferri et al. 1999). The different spectral slopes detected in different luminosity states are then easily explained: the spectrum is steeper when the source is bright and the tail of the synchrotron component dominates the X-ray flux. When the source is faint most of the X-ray flux is due to the flat Compton emission. The fast flux variations detected only in the soft X-rays strongly suggest that rapid variability (correlated with the optical) comes from the high energy tail of the synchrotron component which peaks at a few times 10^{14} Hz.

Long term variability in the hard Compton component is instead apparent from the comparison of the 1996 and 1998 spectral energy distributions (Fig. 5).

The different variability timescales in the synchrotron (soft X-ray) and Compton (harder X-rays) emission explain in a natural way the surprisingly poor correlation between the simultaneous RXTE (2–10 keV) and ROSAT HRI (0.1–2.4 keV) light curves reported by Otterbein et al. (1998).

Evidence for spectral steepening with intensity was found in the form of an anticorrelation between hardness ratio and source flux (Fig. 4). Such a behaviour is opposite to that observed in many BL Lacs (Giommi et al. 1990, Takahashi et al. 1996, 1999) but can be simply explained as due to variability in the synchrotron tail that steepens the overall X-ray spectrum when the flux of this component increases. This effect was predicted by Padovani & Giommi (1996) to be detectable in intermediate BL Lac objects like S5 0716+714.

If the optical and X-ray variability are associated, the presence of a lag between the minimum of the light curves in the different bands of the 1998 campaign can be ascribed to the different radiative cooling time of the electrons emitting in the optical and in the X-ray bands. If, instead, the delay is due to geometry (e.g. electrons diffusing out from an injection region while cooling, or a shock travelling in the jet), the cooling time must be shorter than the observed lag. In any case, a firm limit can be derived, by requiring that the cooling time is equal or shorter than the observed time lag.

We therefore require that the synchrotron and self Compton cooling time for optical emitting electrons is equal to or shorter than $t_{lag}\delta/(1+z)$:

$$t_{cool} = \frac{6\pi mc^2}{\sigma_T c \gamma_o B^2 (1 + U_S/U_B)} \leq \frac{t_{lag}\delta}{(1+z)}, \quad (1)$$

where σ_T is the Thomson scattering cross section, $\gamma_o m_e c^2$ is the energy of the particles emitting at the observed frequency, $U_B = B^2/(8\pi)$ is the magnetic energy density, and U_S is the synchrotron radiation energy density (as measured in the comoving frame), and δ is the beaming or Doppler factor. The energy γ_o is related to the observed frequency by $\nu_o \simeq 3.7 \times 10^6 \gamma_o^2 B \delta / (1+z)$ Hz (assuming synchrotron radiation). We then obtain the two limits:

$$B \geq 5.6 \nu_{15}^{-1/3} t_h^{-2/3} (1 + U_S/U_B)^{-2/3} \left(\frac{1+z}{\delta} \right)^{1/3} \quad \text{G} \quad (2)$$

$$\gamma_o \leq 6.9 \times 10^3 \nu_{15}^{2/3} \left[\frac{t_h (1 + U_S/U_B) (1+z)}{\delta} \right]^{1/3} \quad (3)$$

where t_h is the lag time measured in hours and $\nu_o = 10^{15} \nu_{15}$ Hz. Assuming $\delta = 10$, $t_h = 4$, $\nu_{15} = 0.5$ and $U_S/U_B = 1$, we find $B \geq 0.9$ G and $\gamma_o \leq 4.4 \times 10^3$, in very good agreement with the estimates of Ghisellini et al. (1997). With these parameters, we expect that the peak of the self Compton emission is at $h\nu_c \sim \gamma_o^2 h\nu_o \lesssim 50$ MeV.

It has been shown in a number of occasions that the peak of the synchrotron power in BL Lacs tend to move to higher

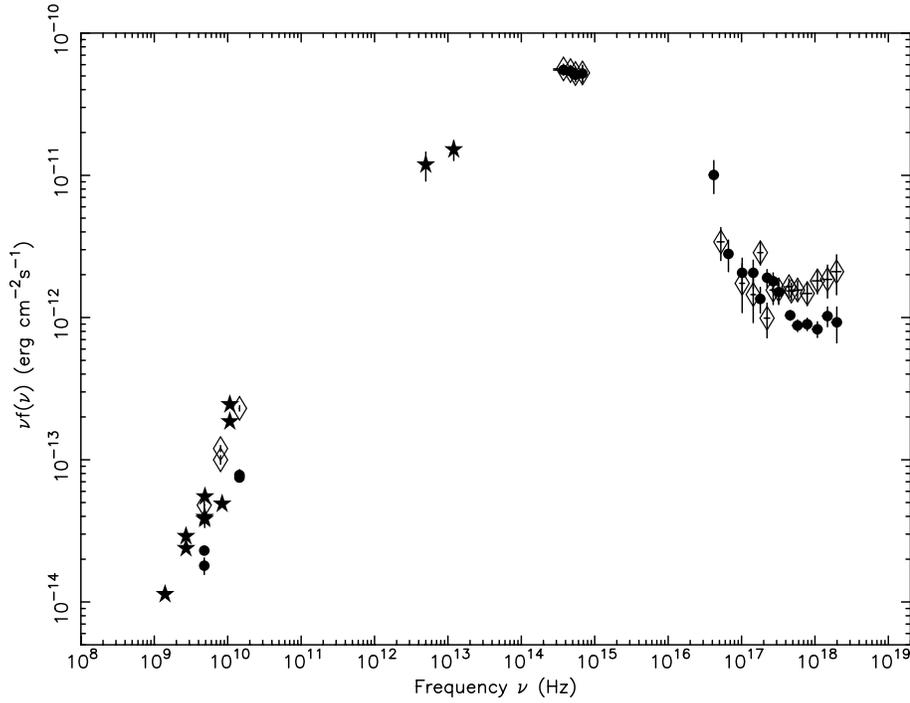


Fig. 5. The radio to X-ray Spectral Energy Distribution of S5 0716+714. The optical and X-ray data are simultaneous and have been collected by us in 1996 (filled circles) and in 1998 (open diamonds); near-simultaneous radio data (filled circles and open diamonds) are from the UMRAO database, all other points (filled stars) are from NED. On both occasions the *Bep-poSAX* data clearly show a break between the tail of the synchrotron emission and the on-set of the harder Compton emission that is probably responsible for the gamma ray emission.

energies during flares (e.g. Giommi et al. 1990, Pian et al. 1998, Takahashi et al. 1999, Malizia et al. 1999, Giommi et al. 1999, Massaro et al. 1999b). In the case of S5 0716+714, a shift of ν_{peak} from the optical band to a somewhat higher energy, would cause a much larger synchrotron contribution to the X-ray flux. In case of large events, involving a shift of ν_{peak} of a factor 10 or more (as observed in MKN501, Pian et al. 1998, and 1ES2344+514, Giommi et al. 1999), the steep synchrotron emission would entirely dominate the X-ray spectrum and further flux increases would cause spectral flattening just as observed in many HBL BL Lacs where ν_{peak} is at the UV/X-ray frequencies.

The results presented in this paper demonstrate that intermediate BL Lacs are potentially very important to study the relation and the interaction between the two main components in the Spectral Energy Distribution of BL Lacs. Important observational quantities are the determination of the position of synchrotron peak at different intensity levels, and the detailed evolution of the spectral shape in the X-ray band which includes in different mixtures both the Synchrotron and Compton components. Multi-wavelength campaigns on this type of BL Lacs, covering the near infrared and X-rays can lead to a deep understanding of the physical processes powering Blazars and can unravel the origin of the seed photons upscattered to high energies.

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